PATENT

Attorney Docket No. MTI-31041-A

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Ping, et al.

Serial No.

10/046,497

Filing Date

October 26, 2001

For

Method For Forming Raised Structures by Controlled Selective

Epitaxial Growth of Facet Using Spacer

Group Art Unit

2814

Examiner

LE, Thao X.

Confirmation No.

8624

CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 as "Express Mail Post Office to Addressee" Mailing Label No. EV 326236072US.

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Commissioner for Patents

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, Applicant submits the information listed on the enclosed Form 1449 for consideration in the above-identified application. No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103. A copy of these documents is enclosed.

This Supplemental Information Disclosure Statement is being filed as part of a Request for Continued Examination (RCE). Accordingly, <u>no fee is due</u> for consideration of the listed documents. However, please charge any fee that is necessary to Account No. 23-2053.

Return of the enclosed Form 1449/PTO in the next communication to Applicant, showing the citations as initialed and considered, is respectfully requested.

Respectfully submitted,

Dated: September 10, 2003

Kristine M. Strodthoff, Reg. No. 34,259

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

Complete ii Known				
Application Number	10/046,497			
Filing Date	October 26, 2001			
First Named Inventor	Ping, et al.			
Art Unit	2814			
Examiner Name	Le, Thao X.			
Attorney Docket Number	MTL31041-A			

Examiner	Cite	Decument Number		Name of Patentee or	Donne Calumna Lines 141
Initials* No.1	Document Number	Publication Date MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
	Number-Kind Code ² (filmo wn)			Figures Appear	
		^{US-} 5,888,294	03/30/99	Min et al.	
		^{US-} 2001/0040292	11/15/01	Hahn et al.	
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		FORE	IGN PATENT DOCU	MENTS		
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08B (98-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S.DE PARTMENT OF COMMERCE action Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complet if Known or form 1449/E **Application Number** 10/046,497 INFORMATION DISCLOSURE **Filing Date** October 26, 2001 STATEMENT BY APPLICANT **First Named Inventor** Ping, et al. Art Unit 2814 (Use as many sheets as necessary) **Examiner Name** Le, Thao X. Attorney Docket Number Sheet 2 2 MTI-31041-A

		NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
·		X-Ray Diffraction Characterization of Multilayer Epitaxial thin Films Deposited on (0001) Sapphire, THOMAS N. BLANTON & LIANG-SUN HUNG, The Rigaku Journal, Vol. 13/1996		
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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: